

12/13/99 2:12 PM

EXPRESS MAIL CERTIFICATE			
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231			
Typed or Printed Name	Dave Gilson	Express Mail No.	EL284101633US
Signature	<i>Dave Gilson</i>	Date	December 13, 1999

RESPONSE TO MISSING PARTS Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	OLIG-010CON
	First Named Inventor	Amy Arrow et al.
	Application Number	09/211,794
	Filing Date	December 15, 1998
	Group Art Unit	1635
	Examiner Name	B. Robinson
	Title	Three Component Chimeric Antisense Oligonucleotides

Sir:

In response to the Notice to File Missing Parts dated January 21, 1999, transmitted herewith for filing are the following documents:

1. a copy of the Notice to File Missing Parts;
2. a copy of a Transmittal Letter sent via facsimile to Carol Barnes of the USPTO on October 21, 1999 (copies of transmittal letter not attached);
3. a Combined Declaration and Power of Attorney signed by the inventors;
4. a Fee Transmittal; and
5. a check in the amount of \$890.00 (surcharge, \$130; statutory basic filing fee, \$760) to cover the fees required.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
 BOZICEVIC, FIELD & FRANCIS LLP

Date: 12/13/99

By: *Dianna L. DeVore*
 Dianna L. DeVore
 Registration No. 42,484

BOZICEVIC, FIELD & FRANCIS LLP
 285 Hamilton Avenue, Suite 200
 Palo Alto, California 94301
 Telephone: (650) 327-3400
 Facsimile: (650) 327-3231
 F:\DOCUMENT\OLIG-010CON\missing parts - trans.wpd

FEB - 8 2000
 USPTO
 PCH CENTER 1600/2300

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

Attorney Docket Number	OLIG 010CON
First Named Inventor	Amy Arrow et al.
Application Number	09-211,794
Filing Date	December 15, 1998
Group Art Unit	1635
Examiner Name	B. Robinson

METHOD OF PAYMENT

- ☒ A CHECK IS ENCLOSED
- ☒ The Commissioner is hereby authorized to charge any **additional** fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:
Deposit Account No. 50-0815.
Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	760	201	380	Utility filing fee	\$760
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	760	208	380	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$760

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims 19	20	0	x	\$0
Ind. claims 3	3	0	x	0
Multiple Dependent claims		0	x	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2) 0.00				

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	130
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	760	246	380	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	380	216	190	Ext. for reply within second month	
118	1,360	218	680	Ext. for reply within fourth month	
119	300	219	150	Notice of Appeal	
121	260	221	130	Request for oral hearing	
141	1,210	241	605	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of IDS	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	580	244	290	Plant issue fee	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) 130.

TOTAL AMOUNT ENCLOSED (\$) 890.00

SUBMITTED BY

Typed or Printed Name	Dianna L. DeVore, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	42,484
Signature		Date	12/13/99
		Deposit Account	50-0815



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

0204893 12-15-99 ARRON

8024-116

0204893
DENNIS AND EUGENIE
1105 AVENUE OF THE AMERICANS
NEW YORK, NY 10035-0211

0204893

NOT ASSIGNED

DATE MAILED:

01-21-99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for _____ independent claims over 3.
- \$ _____ for _____ dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

FORM PTO-1533 (REV. 9-97)

1001

DIRECTORS OFFICE

703303059822

17:11

12/13/99

12/16/1999 MP085950 00000030 09211794

130.00 DP
760.00 DP

01 FC:105
02 FC:199

COPY

CERTIFICATE OF FACSIMILE

Hereby certify that this correspondence is being transmitted via facsimile to the Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 21, 1999

Typed or Printed Name	Kimberly W. Zuehlke		
Signature	<i>Kimberly W. Zuehlke</i>	Date	October 21, 1999

TRANSMITTAL Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	OLIG-0010CON
	First Named Inventor	Amy Arrow et al.
	Application Number	09/211,794
	Filing Date	December 15, 1998
	Group Art Unit	1635
	Examiner Name	To Be Assigned
	Title	THREE COMPONENT CHIMERIC ANTISENSE OLIGONUCLEOTIDES

Sir:

This transmittal is in response to a telephone conversation between Carol Barnes of the U.S. Patent and Trademark Office and the undersigned regarding non-receipt of the Notice to File Missing Parts in the above identified patent application. The Notice to File Missing Parts was never received by our firm. To support this, enclosed please find the following documents:

1. a copy of a status inquiry filed August 9, 1999; and
2. a copy of our internal mail logs for January 21, 1999 through February 4, 1999.

Please note that the documents received on February 4, 1999 from Pennie & Edmonds only included the physical file; the Missing Parts was not included therein.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
 BOZICEVIC, FIELD & FRANCIS LLP

Date: 10/21/99

By: *Dianna L. DeVore*
 Dianna L. DeVore
 Registration No. 42,484

BOZICEVIC, FIELD & FRANCIS LLP
 285 Hamilton Avenue, Suite 200
 Palo Alto, California 94301
 Telephone: (650) 327-3400
 Facsimile: (650) 327-3231
 E-DOCUMENT OF OLIG-0010CON tras.milital to pto.wpd